

The Tidewater Chapter of the Virginia Society of CPAs

PRD2

October 27, 2020

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By

Kaplan Financial Education, powered by Loscalzo Institute

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(To be maintained for five years from the end of the year the session is held)

PROGRAM RECORD *

SPONSOR NAME: Kaplan Financial Education, powered by Loscalzo

SPONSOR ADDRESS: 332 Front Street, Suite 501
La Crosse, WI 54601

SPONSOR CONTACT: Amy Vaccarello

TITLE OF PROGRAM: PRD2 – Peer Review Deficiencies – How to Solve Them?

DATE: October 27, 2020

LOCATION: Live Webcast

INSTRUCTOR: Mark Mishler

CPE CREDIT: 2 hours of Accounting

* Attach attendance list, biography of instructor, and course description.

NOTE: A copy of the course materials should be maintained in the file.

PROGRAM NOTICE

TITLE OF PROGRAM:	<u>PRD2 – Peer Related Deficiencies – How to Solve Them?</u>
INSTRUCTOR:	<u>Mark Mishler</u>
DATE: <u>10/27/2020</u>	TIME: <u>2:00 pm – 3:40 pm EST</u>
LOCATION:	<u>Live Webcast</u>
LEARNING OBJECTIVES:	<u>Participants will identify and correct recurring peer review deficiencies.</u>
	<u></u>
ADVANCE PREPARATION:	<u>None</u>
RECOMMENDED CPE CREDIT AND RECOMMENDED FIELD OF STUDY:	<u>2 hours Accounting</u>
LEVEL OF KNOWLEDGE:	<u>Basic</u>
PREREQUISITES:	<u>None</u>
DELIVERY METHOD:	<u>Group-live webcast</u>
PROGRAM CONTENT:	<u>See attached course description</u>

Peer Review Deficiencies—How to Solve Them?

Why Attend This Course?

Peer review this year? Again, so soon? This “not to miss” session will address the most common peer review matters as identified by the AICPA and the PCAOB. Even though these deficiencies are common doesn't mean they are new. However, they can contribute to a non-conforming engagement which can result in peer review results of pass with deficiency or worse, a failed peer review. Don't fall victim to these deficiencies. Join this session to explore the issues and find common-sense solutions.

Designed For...

Practitioners in public practice who are responsible for the preparation of non-public financial statements. This program assists practitioners by identifying recurring deficiencies in reporting and disclosures in audits, reviews, compilations, and preparations.

Objective(s)

Participants will identify and correct recurring peer review deficiencies.

Major Subjects

- Accounting matter issues
- Disclosure matter issues
- Audit matter issues
- Review matter issues
- Compilation matter issues
- Quality control document
- Firm's system of quality control

Qualifies for the 24-hour Yellow Book requirement if an auditor has FASB Yellow Book clients. Determination as to the qualification of certain courses for the Yellow Book 24-hour requirement should be made on an auditor-specific basis depending on that auditor's Yellow Book clients. Note that the determination of course qualification is a matter of an auditor's professional judgment in consultation with appropriate individuals in the audit firm. The 24 hours are a subset of the 80-hour requirement.

FIELD OF STUDY

Accounting

RECOMMENDED CPE CREDIT

2 hours

LEVEL OF KNOWLEDGE

Basic

PREREQUISITES

None

ADVANCE PREPARATION

None

ACRONYM

PRD2

PRESENTATION METHOD

Group-live

BIOGRAPHICAL SKETCH OF MARK D. MISHLER, MBA, CPA, CMA

Mark D. Mishler, MBA, CPA, CMA, licensed as a CPA in Ohio, has ten years' experience as a discussion leader for ***Kaplan Financial Education, powered by Loscalzo Institute***. His professional career includes Chief Financial Officer, Treasurer, Controller, and Chief Information Officer global finance and operating leadership roles with publicly-traded and private equity companies.

His experience includes auditing, SEC reporting, SOX compliance, IT, HR, strategic planning, risk management, and hedging for Breeze-Eastern, General Electric Healthcare, Amcast Industrial, Witco, Siemens Medical, and WR Grace.

Mark currently provides fractional CFO services to small companies and project finance to large companies, such as acquisitions, improving operating efficiency and profitability, raising capital, and financial reporting.

Mark has secured multiple debt and equity financings, including an IPO. He led global information technology ERP implementations and developed activity-based costing. Mark led accounting for 40 plants in 30 countries and led due diligence, negotiation, structuring, valuation, synergy realization, and integration for over 25 acquisitions.

Mark is Adjunct Professor of Management, Finance, and Accounting. He taught CMA review, graded the CMA exam, and wrote CPA exam questions. He's published in the *Journal of Accountancy*.

Mark earned two BS degrees in chemistry and biology from Indiana University and his MBA from the University of Michigan.